

Financial Markets and Services

Course Code	21BA3T6FA	Year	II	Semester	I
Course Category	Elective (Finance)	Branch	Business Administration	Course Type	Financial Management
Credits	3	L-T-P	3-0-0	Prerequisites	Nil
Continuous Internal Evaluation	30	Semester End Evaluation	70	Total Marks	100

Course Outcomes		
Upon successful completion of the course, the student will be able to:		
CO1	Analyze the basic functions and structures and instruments of the major financial markets and financial institutions for economic development.	L4
CO2	Evaluate Interpret the key role of a central bank, banking and non-banking companies in the financial systems.	L5
CO3	Apply the range of financial services by studying the working of the various types of financial institutions in India.	L3
CO4	Analyze the ranges of financial services which include mutual funds, leasing venture capital and credit rating agencies.	L4
CO5	Evaluate the role of merchant banking in the evolving regulatory framework of financial markets system	L3

Contribution of Course Outcomes towards achievement of Program Outcomes & Strength of correlations (3-High, 2-Medium, 1-Low)													
	PO1	PO2	PO3	PO4	PO5	PO6	PO7	PO8	PO9	PO10	PO11	PSO1	PSO2
CO1	3	-	-	-	-	-	3	-	-	-	3	3	-
CO2	3	-	-	-	-	-	3	-	-	-	3	3	-
CO3	3	-	-	-	-	-	3	-	-	-	3	3	-
CO4	3	-	-	-	-	-	3	-	-	-	3	3	-
CO5	3	-	-	-	-	-	3	-	-	-	3	3	-

SYLLABUS		
Unit No.	Contents	Mapped CO
I	The Basic Theoretical Perspectives: The Indian financial system and Components of Formal Financial System. – Financial Markets: Money market, capital market - Factors affecting the stability of the financial system - Financial intermediaries	CO1 CO2
II	Banking Financial Institutions: RBI - Central banking- Commercial banks - Public and Private banking sectors – Structure and comparative performance Non - Banking financial institutions - control by RBI and SEBI - A perspective on future role.	CO1 CO2 CO3
III	The Financial Institutions: A brief historical perspective - An update on the performance of ICICI, IDBI, IFCI and SFCs - The insurance industry and its regulation - Efficiency and the structure of the insurance industry - Pension funds - Pension plans today.	CO1 CO2 CO3
IV	Financial Services: Objectives, Characters - Financial services - UTI and Mutual Funds organizations Structure, Types - Hire purchase finance - Lease	CO1 CO4

	finance - Venture capital financing - Evaluation of factoring and forfeiting - Functions and advantages - Credit rating agency.	
V	Merchant Banking and Operation: Nature and scope of merchant banking service - Functions and activities - Bankers to an issue - Portfolio managers - The regulatory framework - SEBI and Regulation of primary and secondary Markets - India company law provisions.	CO1 CO5
Case Study Compulsory. Relevant cases have to be discussed in each unit.		

Learning Resources	
Text Books:	
<ol style="list-style-type: none"> 1. Gordon E. and Natarajan K.(2020), “Financial Market and Services”, Himalaya Publishing House, New Delhi. 2. Meir Kohn (2019), “Financial Institution and Markets”, Oxford University press, New Delhi. 1. Avadhani V.A. (2018), “Marketing of Financial Services”, Himalaya Publishing House, Mumbai. 	
Reference Books:	
<ol style="list-style-type: none"> 1. Shanmugham R. (2017), “Financial Services”, Wiley India, New Delhi. 2. Bharti V. Pathak (2011), “The Indian Financial System”, Pearson Education, New Delhi. 3. Clifford Gomez (2010), “Financial Markets, Institutions and Financial Services”, PHI learning, New Delhi. 4. L.M. Bhole (2009), “Financial Institution and Markets”, TMH, New Delhi. 5. Verma J.C., “Management of Financial Services”, Anmol Publications, New Delhi. 	
e- Resources & other digital material:	
<ol style="list-style-type: none"> 1. https://nptel.ac.in/courses/110/105/110105121/ 2. https://nptel.ac.in/noc/courses/noc20/SEM1/noc20-mg10/ 	